



UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
Lehman Brothers Holdings Claims Proc. Center  
c/o Epiq Bankruptcy Solutions LLC  
757 Third Avenue, 3rd Floor  
NEW YORK; NY 10017  
USA

S-Vermögensmanagement  
Freiburg Mitte  
Kaiser-Joseph-Str. 186-190  
79098 Freiburg

Thomas Kirner  
Telefon: 0761 215-2616  
Telefax: 0761 215-2619  
thomas.kirner@  
sparkasse-freiburg.de

17. Oktober 2014

Dear Sirs,

referring to documents attached (Form 210A (10/06)) we ask you to attend our transfer of claim accordingly.

Your kind assistance in this matter is very much appreciated.

Yours faithfully

Sparkasse  
Freiburg-Nördlicher Breisgau

A handwritten signature in black ink, appearing to be 'Thomas Kirner', written over the printed name.

Thomas Kirner

A handwritten signature in black ink, appearing to be 'Tanja Hettich', written over the printed name.

Tanja Hettich

Deutsche Post  
FRANKIT 0,48 EUR  
20.10.14 1D140001AO  
Brief Kilotarif  
P.P./PRIORITY



FILED / RECEIVED  
OCT 23 2014  
EPIQ SYSTEMS

1001762015 0069 5102321001

Sparkasse Freiburg  
Nördlicher Breisgau  
Der CO<sub>2</sub>-neutrale Versand  
mit der Deutschen Post



Form 210A (10/06)



## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Joachim Niehaus

Name of Transferee

Name and Address where notices  
to transferee should be sent:

Joachim Niehaus  
Stadtstr. 83 b  
79104 Freiburg

Phone: 0049 761 215 2616

Last Four Digits of Acct #: --

Name and Address where transferee  
payments should be sent (if different  
from above):

Sparkasse Freiburg-Nördliche Breisgau, Freiburg  
IBAN DE 66 6805 0101 0001 67 55 99  
Attn Mr. Thomas Kirner

Phone: --

Last Four Digits of Acct #: --

Credit Suisse AG

Name of Transferor

Court Claim # (if known): 55829

Date Claim Filed: 29 October, 2009

Amount of Claim: ---

Portion of Claim Transferred (see  
Schedule I): see Evidence of Transfer of Claim Form

Phone: --

Last Four Digits of Acct. #: --

I declare under penalty of perjury that the information provided in this notice is true and correct to  
the best of my knowledge and belief.

By: [Signature]  
Transferee/Transferee's Agent

Date: 16.10.2014

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Number of Units or Currency and Nominal Amount</b>
XS0323535418	55829	October 29, 2009	Lehman Brothers Treasury BV	Units 21



**EVIDENCE OF TRANSFER OF CLAIM**

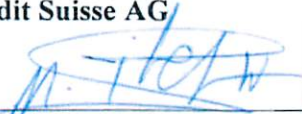
**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

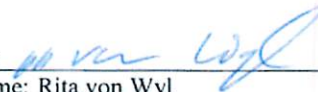
For value received, the adequacy and sufficiency of which are hereby acknowledged, **Credit Suisse AG** ("Transferor") unconditionally and irrevocably transferred to **Joachim Niehaus, 79104 Freiburg** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim **Claim Nr. 55829** relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON **September 23, 2014**.

**Credit Suisse AG**

By:   
Name: Claudio P. Sieber  
Title: AVP

By:   
Name: Rita von Wyl  
Title: AVP